



BOX MISSING PARTS
Section 5

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/825,922 |
| Filing Date | 5 April 2001 |
| First Named Inventor | David E. COMINGS |
| Examiner Name | To Be Assigned |
| Group Art Unit | 1614 |

| | | |
|--|------------------------|----------|
| Total Number of Pages in This Submission <u>25</u> | Attorney Docket Number | 1954-332 |
|--|------------------------|----------|

ENCLOSURES (check all that apply)

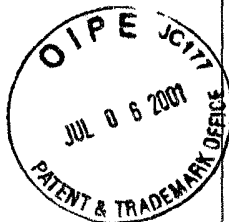
- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Formal Drawings | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

REMARKS:

| | | | |
|----------------------|---|--------------------------|---------------------|
| SUBMITTED BY | | Complete (if applicable) | |
| NAME AND REG. NUMBER | Stephen A. Saxe, Ph.D., Reg. No. 38,609 | | |
| SIGNATURE | <i>Stephen A. Saxe</i> | DATE | <i>July 6, 2001</i> |
| | | DEPOSIT ACCOUNT USER ID | |

1954-332
SAS:lew

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of)
David E. COMINGS) **Box Missing Parts**
Serial No. 09/825,922) Attention:
Filed: 5 April 2001) Applications Branch
For: METHOD OF PROFILING GENES AS RISK FACTORS FOR
ATTENTION DEFICIT HYPERACTIVITY DISORDER

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated May 7, 2001, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 65.00 (surcharge under 37 C.F.R. 1.16(e))
\$ 65.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

Serial No. 09/825,922

The following documents are being submitted concurrently
under separate cover:

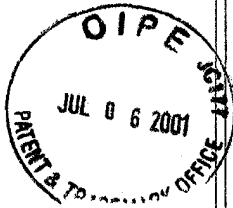
- The formal drawings.
- Recordation coversheet w/Assignment

Respectfully submitted,

By Stephen A. Saxe
Stephen A. Saxe, Ph.D.
Attorney for Applicants
Registration No. 38,609
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

Enclosures

1954-332
SAS:lew



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
David E. COMINGS)
Serial No. 09/825,922) Examiner: To Be Assigned
Filed: 5 April 2001) Group Art Unit: 1614
For: METHOD OF PROFILING GENES)
AS RISK FACTORS FOR)
ATTENTION DEFICIT)
HYPERACTIVITY DISORDER)

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached hereto are 11 sheets of formal drawings to replace
the 10 sheets of informal drawings filed with the subject
application.

Respectfully submitted,

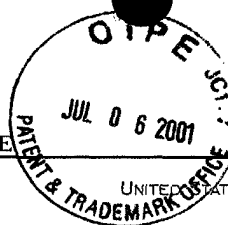
By Stephen A. Saxe
Stephen A. Saxe, Ph.D.
Attorney for Applicants
Registration No. 38,609
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

Enclosures

09825922-070601



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/825,922 | 04/05/2001 | David E. Comings | 1954-332 |

6449

ROTHWELL, FIGG, ERNST & MANBECK, P.C.
555 13TH STREET, N.W.
SUITE 701, EAST TOWER
WASHINGTON, DC 20004

CONFIRMATION NO. 3812

FORMALITIES LETTER



OC000000006046359

Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

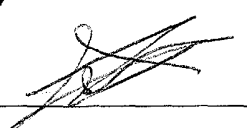
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

07/05/2001 TLW11
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY